



37219
#5105
12-9-02

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner of Patents, Washington, DC 20231.

November 27, 2022

Date

Sly A

Stephanie Jansen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nathan R. Brown Attorney Docket No.: 500200.05
Serial No. : 09/910,638 Group Art Unit : 3729
Filed : July 20, 2001 Examiner : Not yet assigned
Title : METHOD AND APPARATUS FOR CHEMICAL-MECHANICAL PLANARIZATION
OF MICROELECTRONIC SUBSTRATES WITH A CARRIER AND MEMBRANE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, D.C. 20231

Sir:

RECEIVED

DEC - 6 2002

TECHNOLOGY CENTER R3700

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the reference set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/366,406, filed August 3, 1999. The reference listed on the attached Form PTO-1449 was submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, is not required to be provided in this application. If the Examiner wishes, a copy will be provided upon request. Although the aforesaid reference is made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in

37 C.F.R. § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited reference of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Steven H. Arterberry
Registration No. 46,314

SHA:sj

Enclosures:

Postcard
Fee Transmittal Sheet (+ copy)
Form PTO-1449

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Facsimile (206) 903-8820

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**FEES TRANSMITTAL SHEET
(FOR FY 2002)**

Complete if Known

Application No.

09/910,638

Filing Date

July 10, 2001

RECEIVED

First Named Inventor

Nathan R. Brown

DEC - 6 2002

Group Art Unit

3729

TECHNOLOGY CENTER R3700

Examiner

not yet assigned

Atty. Docket Number

500200.05

METHOD OF PAYMENT (Check One)

1. The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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101 740 201 370 Utility Filing Fee

106 330 206 165 Design Filing Fee

108 740 208 370 Reissue Filing Fee

114 160 214 80 Provisional Filing Fee

Subtotal (1) \$ 0

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
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Total 5 20 = 0 x \$ 18 = \$ 0

Ind. 1 3 = 0 x \$ 84 = \$ 0

Multiple Dependent Claims x \$ = \$

Subtotal (2) \$ 0

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent Claim

109 84 209 42 Reissue independent claims over original patent

110 18 210 9 Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
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105 130 205 65 Surcharge - Late filing fee or oath \$

127 50 227 25 Surcharge - late provisional filing fee or cover sheet \$

139 130 139 130 Non-English specification \$

147 2,520 147 2,520 For Filing a Request for Reexamination \$

195 300 196 300 Publication (early or Republication) \$

115 110 215 55 Extension for reply within first month \$

116 400 216 200 Extension for reply within 2nd month \$

117 920 217 460 Extension for reply within 3rd month \$

118 1,440 218 720 Extension for reply within 4th month \$

128 1,960 280 980 Extension for reply within 5th month \$

120 320 220 160 Filing a brief in support of an appeal \$

121 280 270 140 Request for oral hearing \$

148 110 248 55 Terminal Disclaimer Fee \$

140 110 240 55 Petition to revive – unavoidable \$

141 1,280 241 640 Petition to revive – unintentional \$

142 1,280 242 640 Utility/Reissue issue fee (+ advance copies) \$

143 460 243 230 Design issue fee (+ advance copies) \$

122 130 122 130 Petitions to the Commissioner \$

123 50 123 50 Petitions related to provisional applications \$

126 180 126 180 Submission of IDS \$

581 40 81 40 Recording each patent assignment per property (times number of properties) \$

179 740 279 370 Request for Continued Examination (RCE) \$

Other fee (specify) Subtotal (3) \$ 0

Total Amount of Payment: \$ 0

Submitted by:

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Signature: *Steve Arterberry*

Date: 11/27/02